

**Information Sharing with Rating Agencies as on September 30, 2018**

**Pursuant to SEBI Circular CIR/MIRSD/3/2013 dated March 15, 2013, share the following information based on the Quarterly Compliance Reports and communications received from Issuers for quarter ended September 30, 2018:**

<b>Sr No.</b>	<b>Particulars</b>	<b>Remarks</b>
<b>1*</b>	Whether the asset in respect of which security has been created is free from any encumbrance and adequate to ensure asset cover for the debentures and there is no breach of the terms of creation of the security	Terms Complied with.
<b>2**</b>	Funds transferred to Debenture Redemption Reserve (DRR), depletion of DRR/invocation of guarantee which could affect the payment of debenture obligations	Not Applicable
<b>3***</b>	Details of redemption or repurchase of the issue	None
<b>4***</b>	Any default committed including the default in payment of interest or redemption of debentures or delay in creation of security	NIL
<b>5***</b>	Any change or restructuring of the terms of the issue	None
<b>6***</b>	Periodic reports from lead banks about the progress of the project for which funds have been raised through debentures and certificate from issuer's auditors in respect of utilization of funds	NA
<b>7***</b>	Details of grievances filed by debenture holders and action taken to resolve them	Attached as Annexure
<b>8***</b>	Non co-operation by the issuer with respect to furnishing required reports/certificates/information	None

*\* Information to be shared on half yearly basis*

*\*\* Information to be shared annually*

*\*\*\* Information to be shared as and when available*

*It may be noted that all communication as per SEBI Circular CIR/MIRSD/3/2013 dated March 15, 2013 may be addressed to Ms. Paridhi Vora, Compliance Officer at [compliance@beacontrustee.co.in](mailto:compliance@beacontrustee.co.in)*

Annexure

Investor Grievances								
Sr. No	Name of the Issuer Company	Name of the complainant	Nature/ Type of Complaint	Date of receipt of complaint	Action Taken	Status of complaint at the end of 30 days	Remarks for pending complaints	Any other relevant details
1	ECL FINANCE LIMITED	Umapathy K	Interest is not received	5/9/2018	Please note interest will be paid on October 1, 2018.	02 DAYS	Resolved	
2	ECL FINANCE LIMITED	S K Sachdeva	Applied for Tranch but have not got any Allotment	6/8/2018	RTA Reply Email - 15 NCD Have been allotted Under option 6 and has been successfully credited to your Demat Account on 6 aug 2018.	17 DAYS	Resolved	
3	ECL FINANCE LIMITED	Rashmin Shah	Allotment Advice physical Hard Copy & through Email from registrar not received	24/8/2018	Company Replied Email- It is company s decision to send the allotment intimation through Ordinary post. Kindly note that as this intimation is already been sent we will not be able to send you same intimation again.  RTA replied that they have sent duplicate intimation and the same was acknowledged by the Investor.	07 DAYS	Resolved	

4	ECL FINANCE LIMITED	PR Singhania	Allotment Advice not received	28/09/2018	The RTA Replied email-The application submitted by investor in the subject NCD issue that the details required has been dispatched via post to them.	01 DAYS	Resolved	
6	ECL FINANCE LIMITED	Hetal Saket Jain/Ayesh Realty	Application money/allotment not received	24/8/2018	Application amount refunded	03 DAYS	Resolved	