

Annexure

REPORT OF DEBENTURE TRUSTEES FOR THE HALF YEAR ENDED SEPTEMBER 30, 2018

Section - I Activity Report

NAME: BEACON TRUSTEESHIP LIMITED
 REGISTRATION NO IND000000569
 DATE OF REGISTRATION (in dd/mm/yy) 11-Apr-16

SECTION I: ACTIVITIES

A Details of Debenture Issues (Public (P) / Rights (R)/ Privately Placed Listed (PPL) / Privately Placed Unlisted (PPUL)

Type	No. of debenture issues accepted during the half year ended September 30, 2018			Cumulative No. of debenture issues handled up to the half year ended September 30, 2018	Size (in ` crores) of debenture issues accepted during the half year ended September 30, 2018			Cumulative Size (in ` crores) of debenture issues handled up to the half year ended September 30, 2018
	Secured	Unsecured	Total		Secured	Unsecured	Total	
	Public	2	0		2	2	5000	
Rights	0	0	0	0	0	0	0	0
Privately Placed - LISTED	12	5	17	38	14465	2940	17405.00	84056.00
Privately Placed - UNLISTED	22	3	25	76	2559.3	147.75	2707.05	16884.73
Total	36	8	44	116	22024.3	3087.75	25112.05	105940.73

B Activities other than debenture trusteeship

Activity Type	Description of the activity	Number of clients
Escrow Agent/ Facility Agent		5
Security Trustee		20
Securitization Trustee		0
Direct Assignment Trustee		5
Share Pledge Trustee		1
Alternate Investment Fund		12
Commercial Paper Trustee		3
ESOP Trustee		1

Name of Compliance Officer Paridhi Vora
 Email ID paridhi@beacontrustee.co.in

Beacon Trusteeship Limited

Section II - REDRESSAL OF INVESTOR GRIEVANCES

For the Half Year ended March 2018

A Status of Investor Grievances

Name of the issuer (tranche wise)	Pending complaints at the end of the previous half year	No. of complaints received during the half year	No. of complaints resolved during the half year	No of complaints pending at the end of half year
NIL				

B Details of the complaints pending for more than 30 days

Name of the Issuer	No. of complaints pending for more than 30 days	Nature of the Complaint(s)			Steps taken for redressal	Status of the complaint (if redressed, date of redressal)
		Delay in payment of interest	Delay in payment of redemption	Any other		
NIL						

Name of Compliance Officer
Email ID

Paridhi Vora
paridhi@beacontrustee.co.in

Beacon Trusteeship Limited

Section III - DEFAULT DETAILS

Information regarding default by the Issuer Companies in Public (P) / Rights (R) / Privately Placed Listed (PPL) Issues of Debentures up to the half year ended March/September 20..

Name of the Issuer	Secured / Unsecured	Type (P/R/PPL)	Issue Size (in ` crores)	Type of Default*	Details of action taken
NIL					

*Type of Default 1. Non-payment of interest;
2. Non-payment of redemption;
3. Delay in payment of interest/redemption;
4. Any other (like non-creation of security)

Name of Compliance Of Paridhi Vora

Email ID paridhi@beacontrustee.co.in

SECTION - IV - COMPLIANCE

Beacon Trusteeship Limited

COMPLIANCE CERTIFICATE FOR THE HALF YEAR ENDED 31st March, 2018**A No conflict of interests with other activities**

The activities other than debenture trusteeship performed by DT are not in conflict with DT activities and appropriate systems and policies have been put in place to protect the interests of debenture holders.

NIL

B Change in status or constitution

Reporting of 'changes in status or constitution' of DT (In terms of SEBI Circular No. CIR/MIRSD/10/2011 dated June 20, 2011)

NIL

C Other Information

(i) Details of arrest / conviction of key officials of DT

NIL

(ii) Details of prosecution cases or criminal complaints filed by investors against the DT

NIL

(iii) Details of any fraudulent activity by the employees associated with DT activities and action taken by the DT

NIL

(iv) Details of conviction of any offence involving moral turpitude or any economic offence by employees of DT

NIL

(v) Action taken by the DT on the above issues

NA

D Compliance with registration requirements

Certified that the requirements specified for SEBI registration as DT are fulfilled, the details are as under;

(i) Net worth (audited) as defined in the Regulations as on Half year ended 30th September, 2018 (as per the latest unaudited financials)

2.69 crores
Change in registered office (w.e.t 28.05.2018):
Old Regd. Office Address: 3, Prabhat Kunj, Prabhat Colony, Santacruz (East), Mumbai - 400055
New Regd Office Address: F/801, Jai Balaji CHS Ltd., Plot No. 23, Sector 6, Nerul, Thane, Maharashtra - 400706

(ii) Any change in infrastructure since the last report / registration/ renewal

(iii) Changes in Key personnel during the half year ended 30th September, 2018)

Name(s) of the key personnel	Appointment / Cessation	Date of appointment / cessation	Qualification	Experience	Functional areas of work
Ms. Jayshree Kakani - Company Secretary	Appointment	26th April, 2018	Company Secretary, Law Graduate	8 years	Legal, Compliance and Secretarial
Ms. Vaishali Urkude - Director and Chief Operating Officer	Appointment	24th August, 2018	Masters of Law	21 years	Legal, Compliance, Operations

E Details of deficiencies and non compliances

NIL

F Details of the review of the report by the Board of Directors

Date of Board Review (dd/mm/yyyy)

25th October, 2018

Observation of the BoD on

i) the deficiencies and non compliances

Nil

ii) corrective measures initiated

Nil

Certified that we have complied with SEBI (Debenture Trustee) Regulations, 1993, applicable provisions of SEBI (Issue and Listing of Debt Securities) Regulations, 2008, Circulars issued by SEBI and any other laws applicable from time to time except the deficiencies and non compliances those specifically reported at Section IV (E) above:

Name of Compliance Officer
Email ID

Paridhi Vora
paridhi@beacontrustee.co.in